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0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office			Application Number		09/311,188				
			Filing Date		te	May 13, 1999				
TRANSMITTAL FORM			First Named Inventor		ned Inventor	Deborah L. Pinard				
(to be used for all correspondence during pendency of filed application)		Group Art Unit Number		2756						
			Exa	Examiner Name Unas						
Total Number of Pages in This Submission 6			Attorney Docket Number		3988					
ENCLOSURES (check all that apply)										
Fee Transmittal Form (in duplicate)			Ť		Issue Fee Trans	mittal				
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Declaration								1		
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☑ Supplemental Information Disclosure Statement & PTO-1449						•	,	===	<u>.</u>	~
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Request for Correction of Recorded Assignment										İ
Amendment/Response: [ ] Page(s)					-				_	İ
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Status Request									_	į
Revocation and Power of Attorney									_	
SIGNATURE OF ATTORNEY OR AGENT										
Signature:										
Attorney/Reg. No.:	Albert C. Smith, Reg.	No. 20,355				Dated:	11/2	- 199		
CERTIFICATE OF MAILING							i İ			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.										
Signature: Q.(, Swith										
Typed or Printed Name: Albert C. Smith Dated: ( \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \					· ·					

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Deborah L. Pinard

**SERIAL NO.:** 

09/311,188

FILING DATE:

May 13, 1999

TITLE:

3D View Of Incoming Communications

**EXAMINER:** 

Unassigned

GROUP ART UNIT:

2756

ATTY. DKT. NO.:

3988 -

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 11299

Albert C. Smith, Reg. No.: 20,355

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, DC. 20231

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

	appli refer	application relies, under 35 U.S.C. § 120, on the earlier filing date of prior ication Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the rences cited therein are hereby referenced, but are not required to be provided its application under 37 CFR § 1.98(d).							
The I	nforma	ition Dis	sclosure	Statement submitted herewith is being filed:					
		within	n three n	nonths of the filing date of the application, or date of entry					
		into th	ne nation	e national stage of an international application, or before the mailing					
		date of a first official action on the merits, whichever event last occurred; OR							
			hree mo	on the filing date of this national application or the date					
	_		of entry of the national stage in an international application, or after the						
			mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either:						
		(1)	•	action under 37 CFR §1.113; OR					
		(2)		ce of allowance under 37 CFR §1.311; AND					
				ned hereto is the fee of \$240, as set forth under 37 CFR					
				(p), for submission of this Information Disclosure Statement					
•			-	· 37 CFR.§ 1.97(c); OR					
				cant certifies pursuant to 37 CFR § 1.97(e) that:					
				each item of information contained in this Information					
			LI	Disclosure Statement was cited in a communication from a					
				foreign patent office in a counterpart foreign application not					
				more than three months prior to the filing of this Statement;					
				OR					
			П	no item of information contained in this Information					
				Disclosure Statement was cited in a communication from a					
				foreign patent office in a counterpart foreign application or,					
				to the knowledge of the person signing this certification					
				after making reasonable inquiry, was known to any					
				individual designated under 37 CFR § 1.56(c) more than					
		a-		three months prior to the filing of this Statement.					
		OR							

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Li			t the issue fee but after the mailing date of the first to			
	occur	of either:				
	[1]	a final action under 37 CFR § 1.113; OR				
	[2]	a notice of allowance under 37 CFR § 1.311; AND				
		in accordance with the requirements of 37 CFR § 1.97(d):				
		Applicant certifies pursuant to 37 CFR. § 1.97(e) that:				
		□ each i	tem of information contained in this Information			
		Disclo	sure Statement was cited in a communication from a			
		foreig	n patent office in a counterpart foreign application not			
		more 1	than three months prior to the filing of this Statement;			
		OR				
		no iter	no item of information contained in this Information			
		Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or,				
		to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than				
		three months prior to the filing of this Statement; A				
		Applicant her	eby respectfully petitions for the consideration of			
		the accompan	ying Information Disclosure Statement under 37 CFR			
	_	§ 1.97(d)(2);				
			mits the petition fee of \$130 as set forth in 37 CFR §			
		1.17(i).				
			e is required for the consideration of the			
accompanying Info						
Consideration	on of the l	isted reference	s and favorable action are solicited.			
			Respectfully submitted,			
			DEBORAH L. PINARD			
Dated:	11-10	. 0	By: Q.C. Smith			
Dated:	((2))	<del>' /</del>	Albert C. Smith, Reg. No.: 20,355			
			Fenwick & West LLP			
			Two Palo Alto Square			
			Palo Alto, CA 94306 Tel.: (650) 858-7296			
			Fax.: (650) 494-1417			
			•			